INTERNATIONAL AUDIO LABORATORIES ERLANGEN A joint institution of Fraunhofer IIS and Universität Erlangen-Nürnberg



Tutorial 5, ISMIR Milan, November 5, 2023



## Learning with Music Signals: Technology Meets Education

## **Audio Decomposition**

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#### **Source Separation**

- Decomposition of audio stream into different sound sources
- Central task in digital signal processing
- "Cocktail party effect"





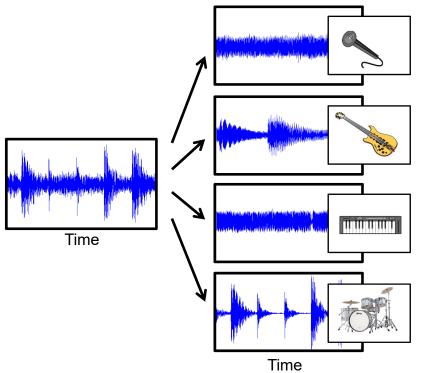
#### Source Separation

- Decomposition of audio stream into different sound sources
- Central task in digital signal processing
- "Cocktail party effect"
- Several input signals
- Sources are assumed to be statistically independent



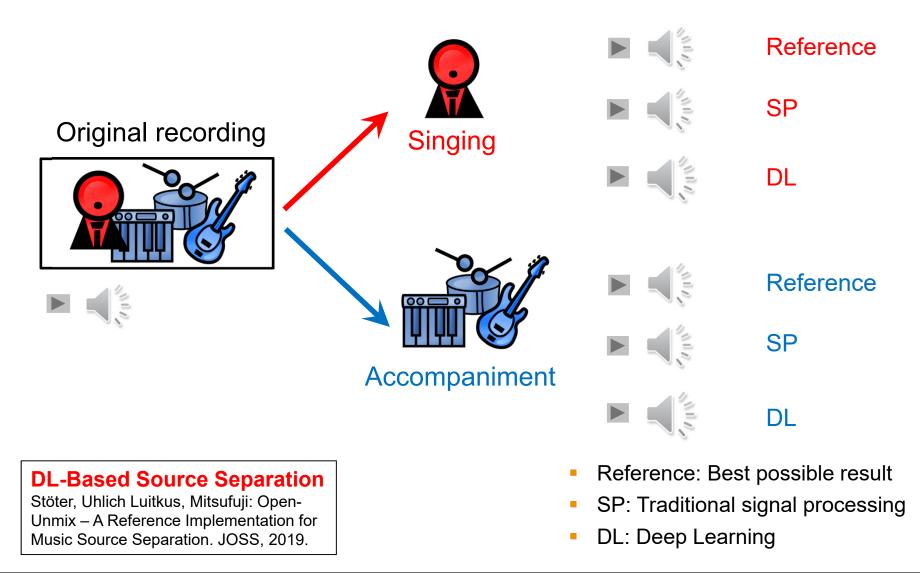
## Source Separation (Music)

- Main melody, accompaniment, drum track
- Instrumental voices
- Individual note events
- Only mono or stereo
- Sources are often highly dependent





## Source Separation (Singing Voice)



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Meinard Müller



#### **Score-Informed Source Separation**

# Exploit musical score to support decomposition process

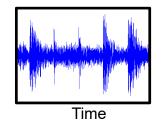
Musical Information



Ewert, Pardo, Müller, Plumbley: Score-Informed Source Separation for Musical Audio Recordings. IEEE SPM 31(3), 2014.

Audio Signal

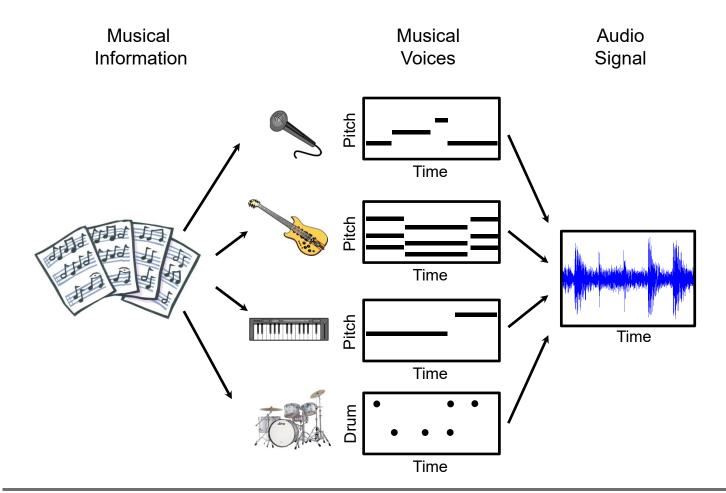






## **Score-Informed Source Separation**

# Exploit musical score to support decomposition process



Score-Informed Source Separation for Musical Audio Recordings. IEEE SPM 31(3), 2014.

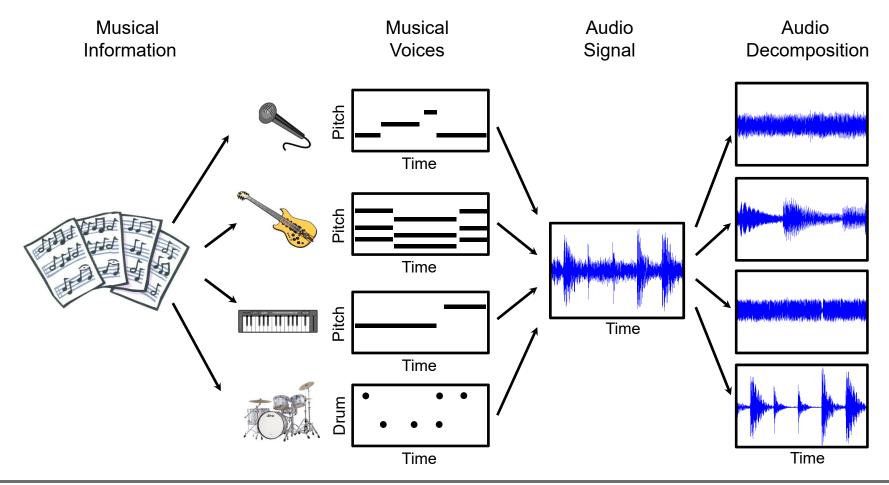


## **Score-Informed Source Separation**

# Exploit musical score to support decomposition process

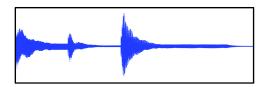
**Prior Knowledge** Ewert, Pardo, Müller, Plumbley:

Score-Informed Source Separation for Musical Audio Recordings. IEEE SPM 31(3), 2014.

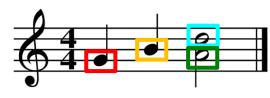


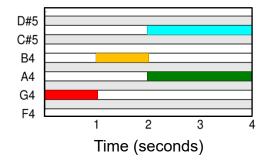


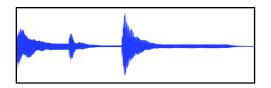




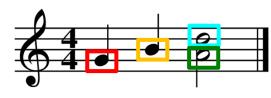


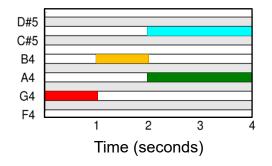


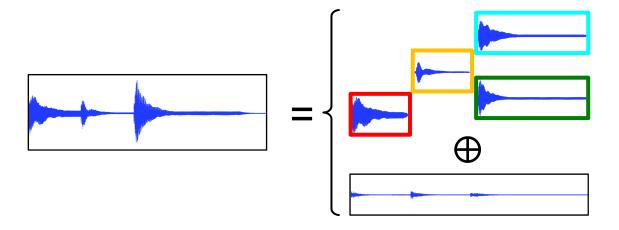




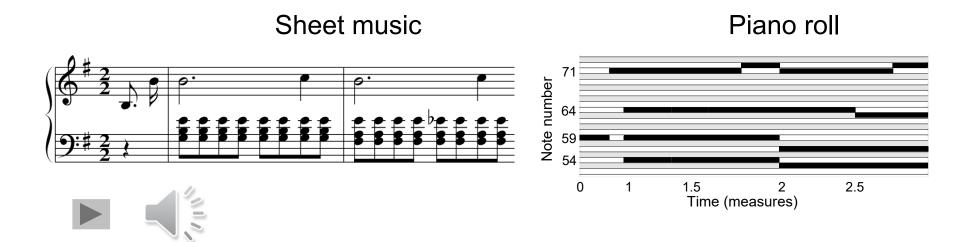




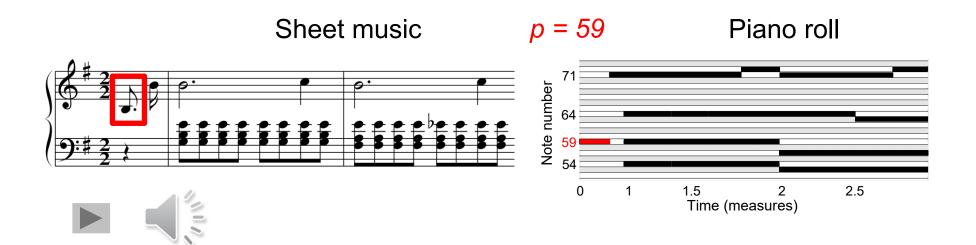




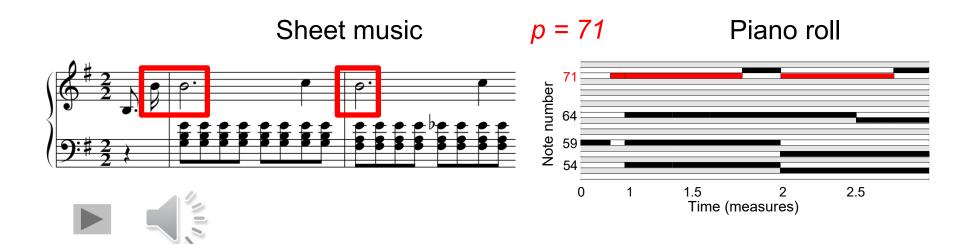




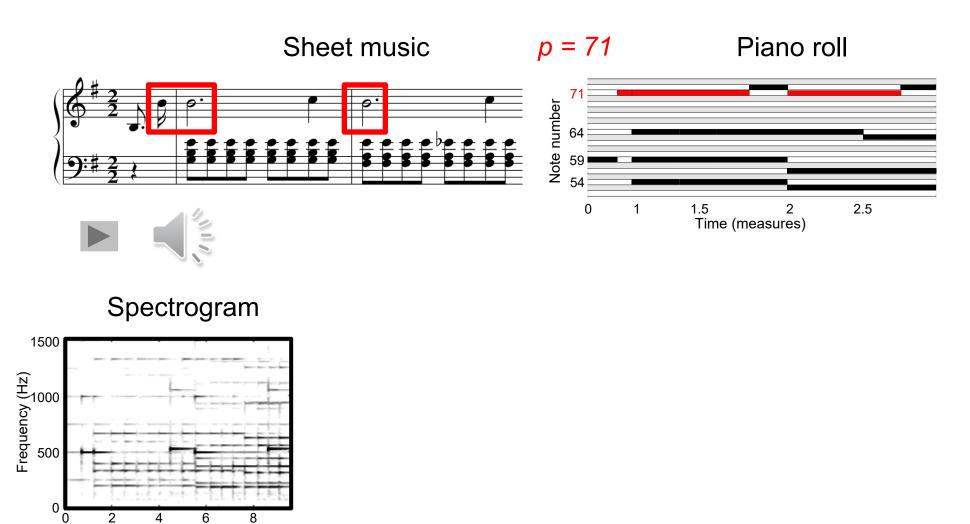






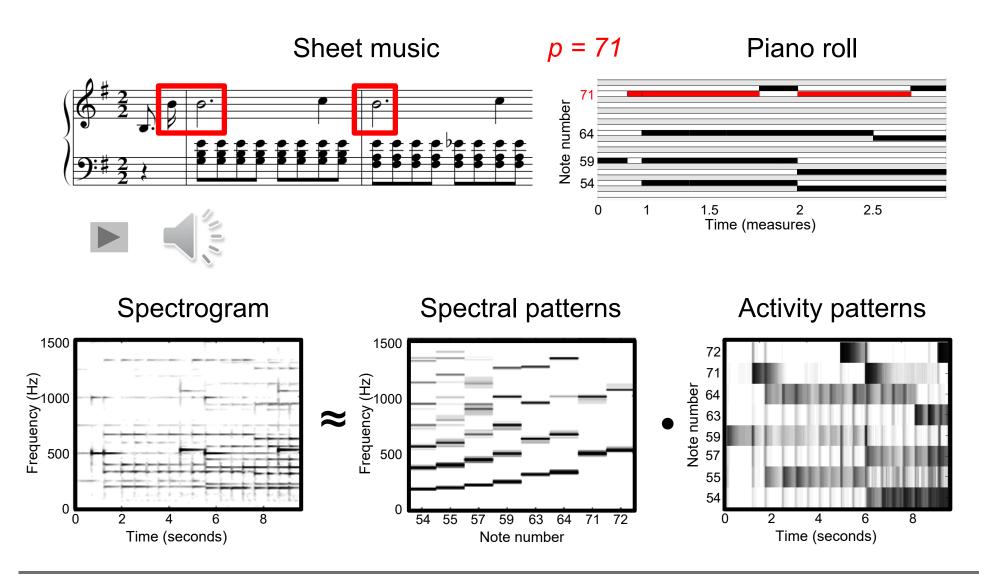






Time (seconds)

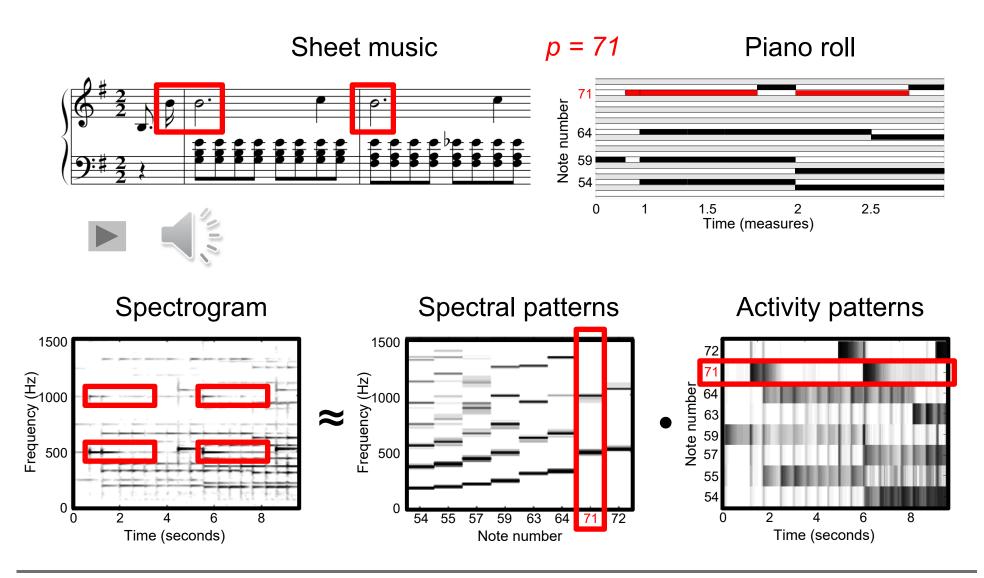




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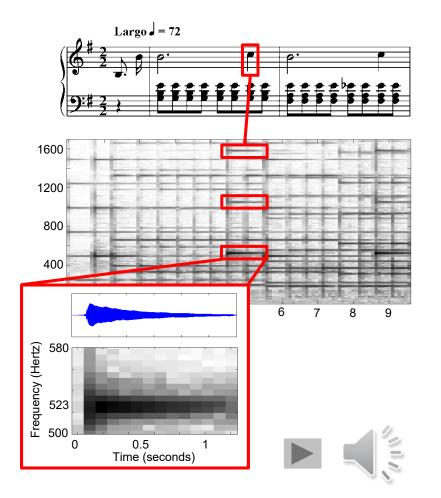
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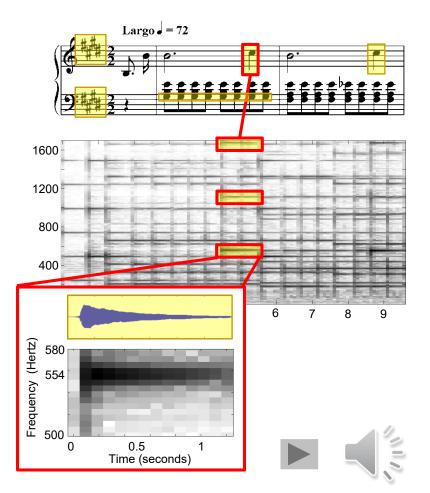
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Tutorial ISMIR Learning with Music Signals







Tutorial ISMIR Learning with Music Signals

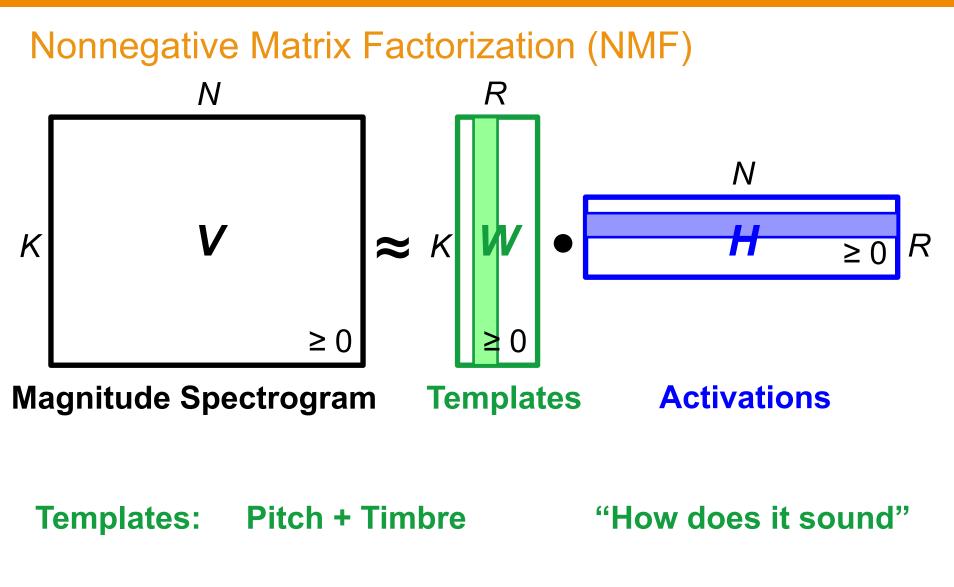


#### 

 $V \in \mathbb{R}_{\geq 0}^{K \times N} \qquad W \in \mathbb{R}_{\geq 0}^{K \times R} \qquad H \in \mathbb{R}_{\geq 0}^{R \times N}$ 

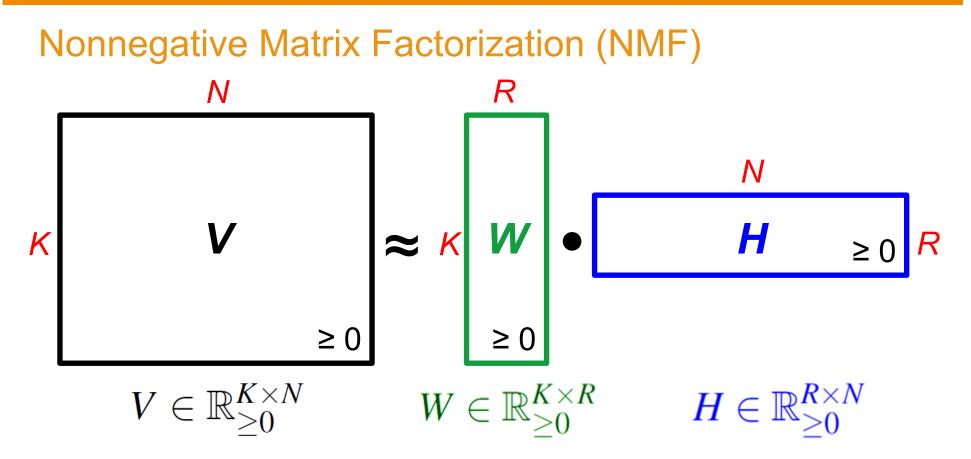


R



#### Activations: Onset time + Duration "When does it sound"





#### **Dimensionality reduction**

- K, N typically much larger than R (maximal rank)
- Example: N = 1000, K = 500, R = 20 K x N = 500,000, K x R = 10,000, R x N = 20,000



#### Nonnegative Matrix Factorization (NMF) Ν RΝ Η K ≥ 0 $V \in \mathbb{R}_{>0}^{K \times N}$ $W \in \mathbb{R}_{>0}^{K \times R}$ $H \in \mathbb{R}^{R \times N}_{>0}$

#### Nonnegativity:

- Prevents mutual cancellation of template vectors
- Encourages semantically meaningful decomposition



## Optimization problem:

Given  $V \in \mathbb{R}_{\geq 0}^{K \times N}$  and rank parameter R minimize  $\|V - WH\|^2$ with respect to  $W \in \mathbb{R}_{\geq 0}^{K \times R}$  and  $H \in \mathbb{R}_{\geq 0}^{R \times N}$ .

Optimization not easy:

- Nonnegativity constraints
- Nonconvexity when jointly optimizing W and H

#### Strategy: Iteratively optimize W and H via gradient descent



#### Computation of gradient with respect to H (fixed W)

$$D := RN$$
  

$$\varphi^{W} : \mathbb{R}^{D} \to \mathbb{R}$$
  

$$\varphi^{W}(H) := \|V - WH\|^{2}$$

#### Variables

 $H \in \mathbb{R}^{R imes N}$  $H_{
ho 
u}$  $ho \in [1:R]$  $ho \in [1:N]$ 



Computation of gradient with respect to H (fixed W)

$$D := RN$$
  

$$\varphi^{W} : \mathbb{R}^{D} \to \mathbb{R}$$
  

$$\frac{\partial \varphi^{W}}{\partial H_{\rho v}} = \frac{\partial \left( \sum_{k=1}^{K} \sum_{n=1}^{N} \left( V_{kn} - \sum_{r=1}^{R} W_{kr} H_{rn} \right)^{2} \right)}{\partial H_{\rho v}}$$
  

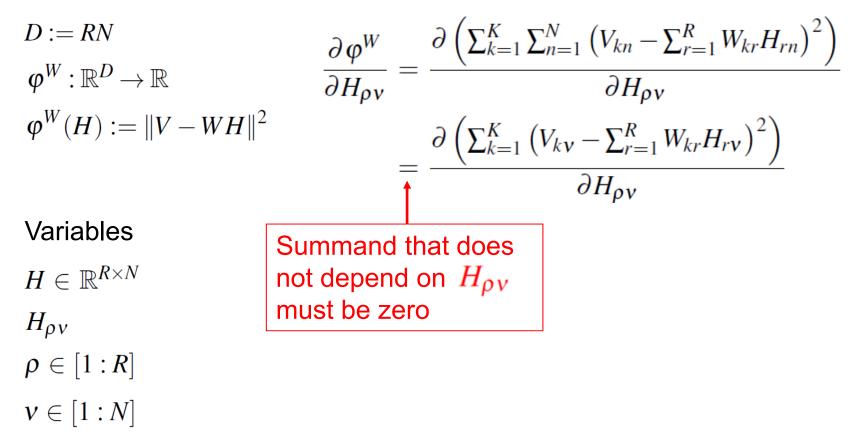
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$$= \frac{\partial \left( \sum_{k=1}^{K} \left( V_{kv} - \sum_{r=1}^{R} W_{kr} H_{rv} \right)^{2} \right)}{\partial H_{\rho v}}$$

$$Variables$$

$$H \in \mathbb{R}^{R \times N}$$

$$H_{\rho v}$$

$$\rho \in [1:R]$$

$$v \in [1:N]$$

$$\frac{\partial \varphi^{W}}{\partial H_{\rho v}} = \frac{\partial \left( \sum_{k=1}^{K} \left( V_{kv} - \sum_{r=1}^{R} W_{kr} H_{rv} \right)^{2} \right)}{\partial H_{\rho v}}$$



Computation of gradient with respect to H (fixed W)

 $\frac{\partial \varphi^{W}}{\partial H_{\rho \nu}} = \frac{\partial \left( \sum_{k=1}^{K} \sum_{n=1}^{N} \left( V_{kn} - \sum_{r=1}^{R} W_{kr} H_{rn} \right)^{2} \right)}{\partial H_{\rho \nu}}$ D := RN $\varphi^W: \mathbb{R}^D \to \mathbb{R}$  $\boldsymbol{\varphi}^{W}(H) := \|V - WH\|^2$  $=\frac{\partial\left(\sum_{k=1}^{K}\left(V_{k\nu}-\sum_{r=1}^{R}W_{kr}H_{r\nu}\right)^{2}\right)}{\partial H_{\rho\nu}}$  $= \sum_{k=1}^{K} 2 \left( V_{k\nu} - \sum_{r=1}^{R} W_{kr} H_{r\nu} \right) \cdot \left( -W_{k\rho} \right)$ Variables  $H \in \mathbb{R}^{R \times N}$  $= 2\left(\sum_{r=1}^{R}\sum_{k=1}^{K}W_{k\rho}W_{kr}H_{r\nu} - \sum_{k=1}^{K}W_{k\rho}V_{k\nu}\right)$  $H_{\rho\nu}$  $\rho \in [1:R]$ Rearrange  $\mathbf{v} \in [1:N]$ summands



Computation of gradient with respect to H (fixed W)

 $\frac{\partial \varphi^{W}}{\partial H_{\rho v}} = \frac{\partial \left( \sum_{k=1}^{K} \sum_{n=1}^{N} \left( V_{kn} - \sum_{r=1}^{R} W_{kr} H_{rn} \right)^{2} \right)}{\partial H_{\rho v}}$ D := RN $\varphi^W: \mathbb{R}^D \to \mathbb{R}$  $\boldsymbol{\varphi}^{W}(H) := \|V - WH\|^2$  $=\frac{\partial\left(\sum_{k=1}^{K}\left(V_{k\nu}-\sum_{r=1}^{R}W_{kr}H_{r\nu}\right)^{2}\right)}{\partial H_{\rho\nu}}$  $= \sum_{k=1}^{K} 2 \left( V_{k\nu} - \sum_{r=1}^{R} W_{kr} H_{r\nu} \right) \cdot \left( -W_{k\rho} \right)$ Variables  $H \in \mathbb{R}^{R \times N}$  $= 2\left(\sum_{r=1}^{R}\sum_{k=1}^{K}W_{k\rho}W_{kr}H_{r\nu} - \sum_{k=1}^{K}W_{k\rho}V_{k\nu}\right)$  $H_{\rho\nu}$  $\rho \in [1:R]$  $= 2 \left( \sum_{r=1}^{R} \left( \sum_{k=1}^{K} W_{\rho k}^{\top} W_{kr} \right) H_{r\nu} - \sum_{k=1}^{K} W_{\rho k}^{\top} V_{k\nu} \right)$  $\mathbf{v} \in [1:N]$ Introduce transposed  $W^+$ 



Computation of gradient with respect to H (fixed W)

 $\frac{\partial \varphi^{W}}{\partial H_{\rho v}} = \frac{\partial \left( \sum_{k=1}^{K} \sum_{n=1}^{N} \left( V_{kn} - \sum_{r=1}^{R} W_{kr} H_{rn} \right)^{2} \right)}{\partial H_{\rho v}}$ D := RN $\varphi^W: \mathbb{R}^D \to \mathbb{R}$  $\boldsymbol{\varphi}^{W}(H) := \|V - WH\|^2$  $=\frac{\partial\left(\sum_{k=1}^{K}\left(V_{k\nu}-\sum_{r=1}^{R}W_{kr}H_{r\nu}\right)^{2}\right)}{\partial H_{\rho\nu}}$  $= \sum_{k=1}^{K} 2 \left( V_{k\nu} - \sum_{r=1}^{R} W_{kr} H_{r\nu} \right) \cdot \left( -W_{k\rho} \right)$ Variables  $H \in \mathbb{R}^{R \times N}$  $= 2\left(\sum_{r=1}^{R}\sum_{k=1}^{K}W_{k\rho}W_{kr}H_{r\nu} - \sum_{k=1}^{K}W_{k\rho}V_{k\nu}\right)$  $H_{\rho\nu}$  $\rho \in [1:R]$  $= 2 \left( \sum_{r=1}^{R} \left( \sum_{k=1}^{K} W_{\rho k}^{\top} W_{kr} \right) H_{r\nu} - \sum_{k=1}^{K} W_{\rho k}^{\top} V_{k\nu} \right)$  $\mathbf{v} \in [1:N]$  $= 2((W^{\top}WH)_{\rho\nu} - (W^{\top}V)_{\rho\nu}).$ 



## NMF Optimization Gradient descent

Initialization  $H^{(0)} \in \mathbb{R}^{R \times N}$ Iteration for  $\ell = 0, 1, 2, ...$ 

$$H_{rn}^{(\ell+1)} = H_{rn}^{(\ell)} - \gamma_{rn}^{(\ell)} \cdot \left( \left( W^{\top} W H^{(\ell)} \right)_{rn} - \left( W^{\top} V \right)_{rn} \right)$$

with suitable learning rate  $\gamma_{rn}^{(\ell)} \ge 0$ 



## NMF Optimization Gradient descent

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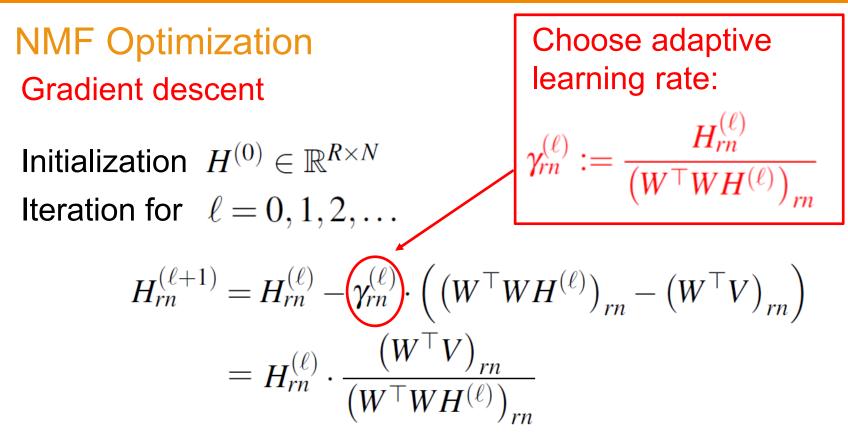
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with suitable learning rate  $\gamma_{rn}^{(\ell)} \ge 0$ 

Issues:

- How to do the initialization?
- How to choose the learning rate?
- How to ensure nonnegativity?

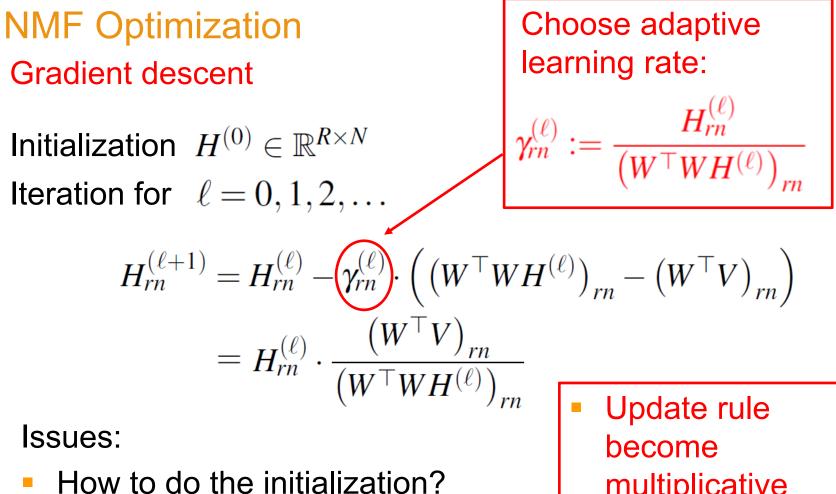




Issues:

- How to do the initialization?
- How to choose the learning rate?
- How to ensure nonnegativity?





- How to choose the learning rate?
- How to ensure nonnegativity?

- multiplicative
- Nonnegative values stay nonnegative



#### NMF Algorithm

Lee, Seung: Algorithms for Non-Negative Matrix Factorization. Proc. NIPS, 2000.

#### **Algorithm:** NMF ( $V \approx WH$ )

- Input:Nonnegative matrix V of size  $K \times N$ <br/>Rank parameter  $R \in \mathbb{N}$ <br/>Threshold  $\varepsilon$  used as stop criterionOutput:Nonnegative template matrix W of size  $K \times R$ 
  - Nonnegative activation matrix *H* of size  $R \times N$

**Procedure:** Define nonnegative matrices  $W^{(0)}$  and  $H^{(0)}$  by some random or informed initialization. Furthermore set  $\ell = 0$ . Apply the following update rules (written in matrix notation):

$$(1) \quad H^{(\ell+1)} = H^{(\ell)} \odot \left( ((W^{(\ell)})^\top V) \oslash ((W^{(\ell)})^\top W^{(\ell)} H^{(\ell)}) \right)$$

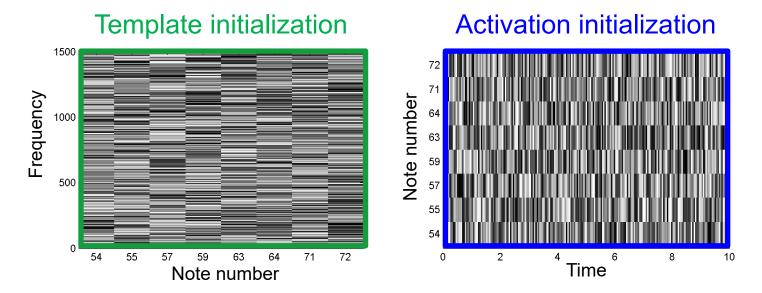
(2) 
$$W^{(\ell+1)} = W^{(\ell)} \odot \left( (V(H^{(\ell+1)})^{\top}) \oslash (W^{(\ell)}H^{(\ell+1)}(H^{(\ell+1)})^{\top}) \right)$$

(3) Increase  $\ell$  by one.

Repeat the steps (1) to (3) until  $||H^{(\ell)} - H^{(\ell-1)}|| \le \varepsilon$  and  $||W^{(\ell)} - W^{(\ell-1)}|| \le \varepsilon$  (or until some other stop criterion is fulfilled). Finally, set  $H = H^{(\ell)}$  and  $W = W^{(\ell)}$ .



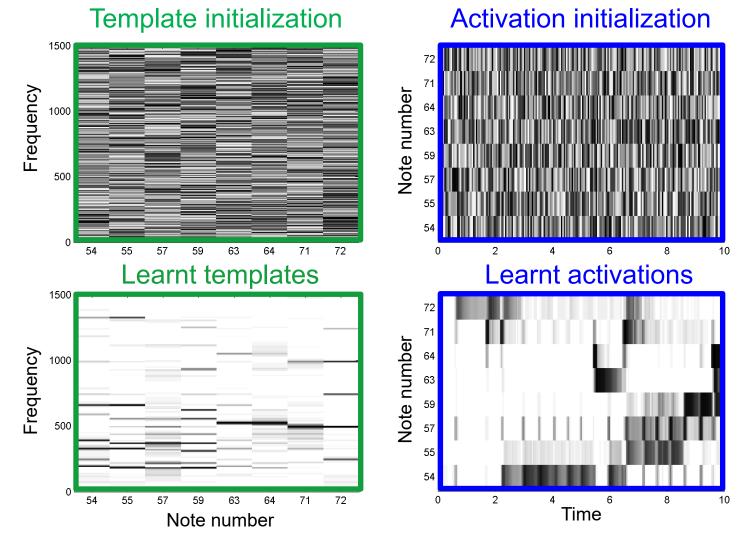
#### **NMF-based Spectrogram Decomposition**



#### Random initialization



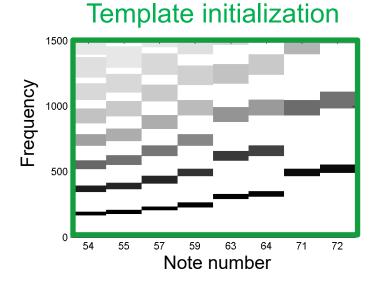
#### **NMF-based Spectrogram Decomposition**



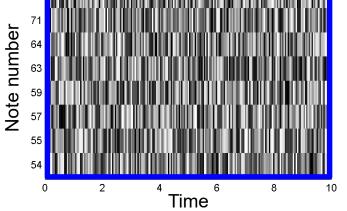
#### Random initialization $\rightarrow$ No semantic meaning

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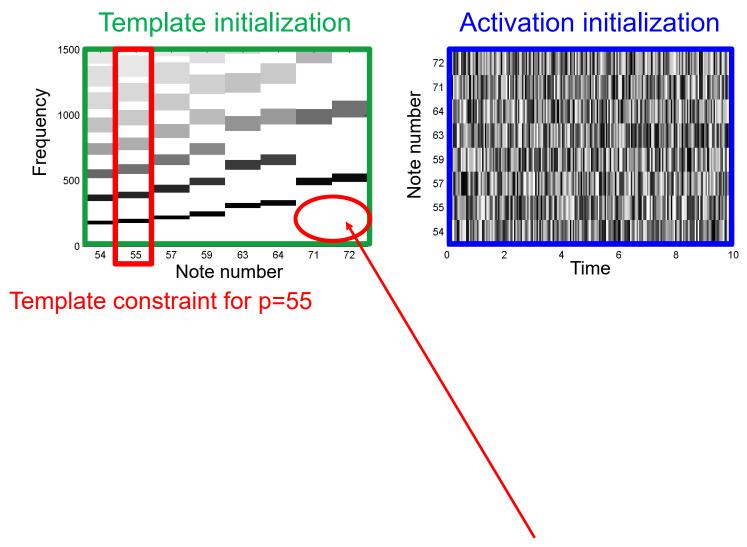
# Activation initialization



#### Enforce harmonic structure with zero-valued entries

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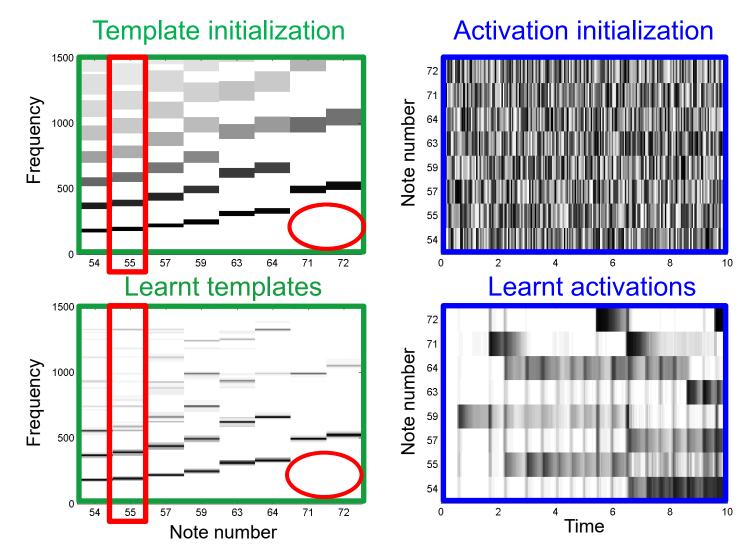




#### Enforce harmonic structure with zero-valued entries

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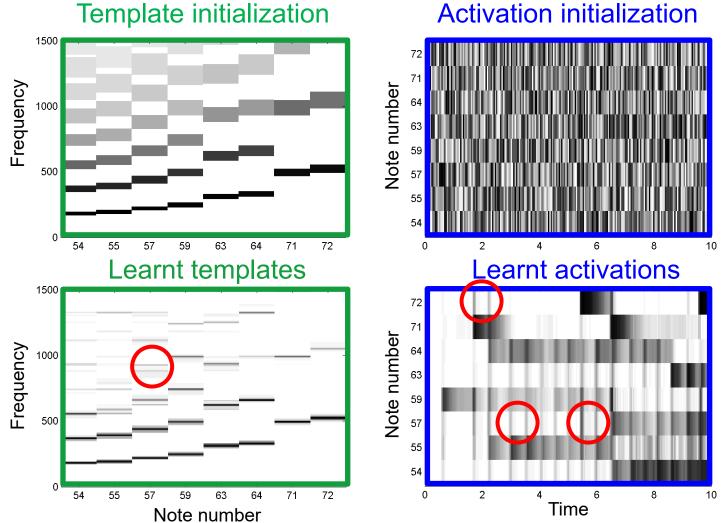




Zero-valued entries remain zero-valued entries!

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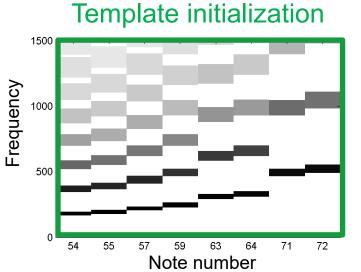




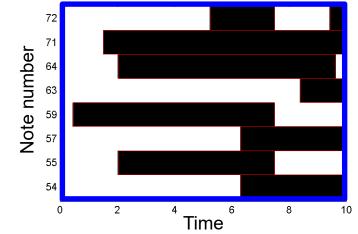
Pitch templates misused to represent onsets

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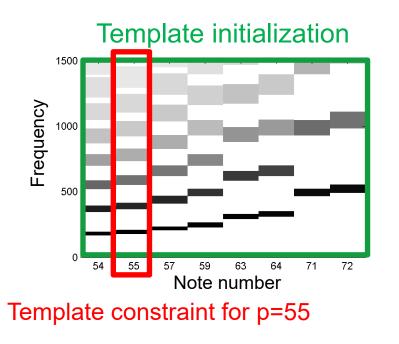




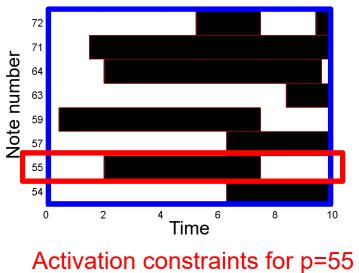
#### Activation initialization







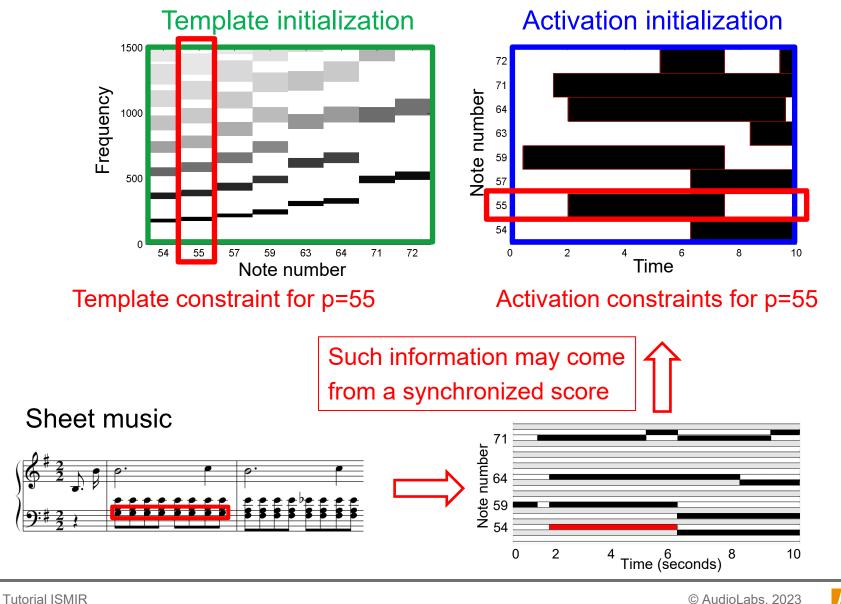
Activation initialization



AUDIO

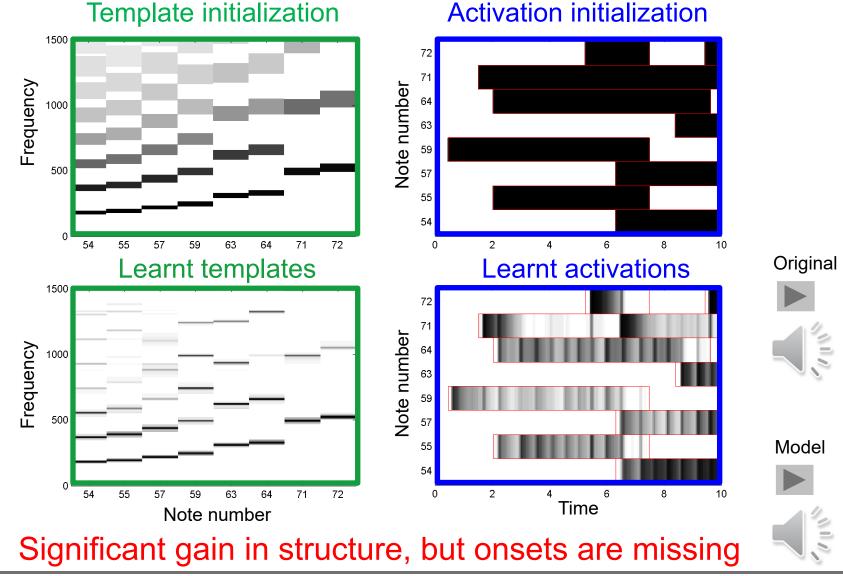
LABS





Learning with Music Signals



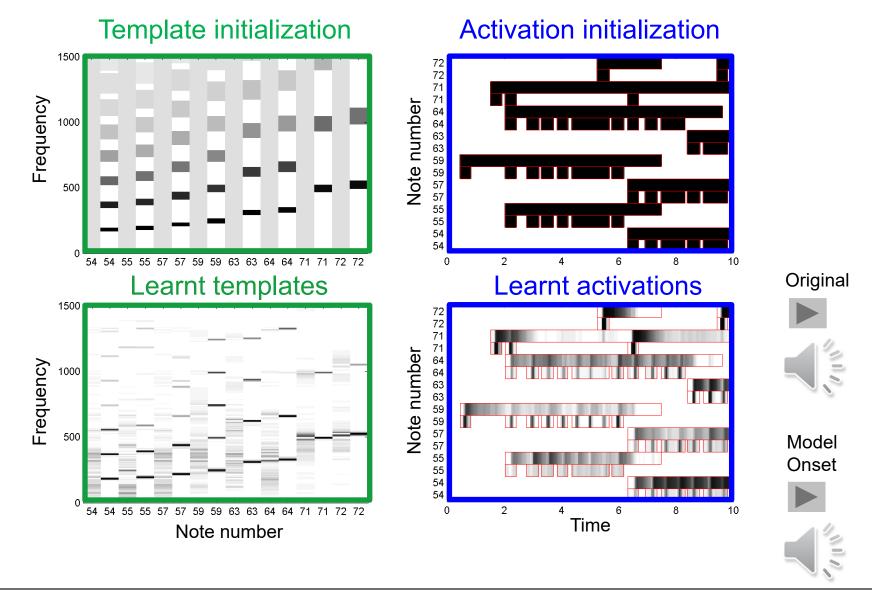


Activation initialization

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#### **Constrained NMF: Onset Templates**



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AUDIO

B

LA

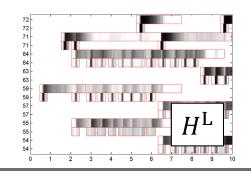
46

#### Application: Separating left and right hands for piano



1. Split activation matrix



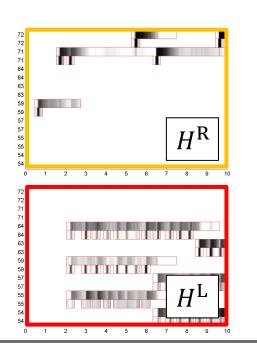




#### Application: Separating left and right hands for piano

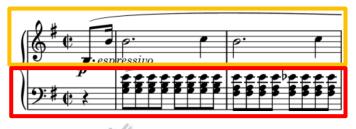


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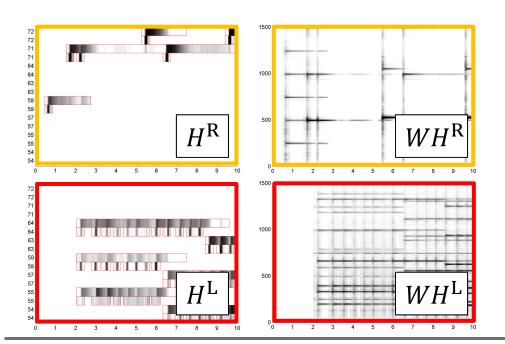




#### Application: Separating left and right hands for piano



- 1. Split activation matrix
- 2. Model spectrogram for left/right



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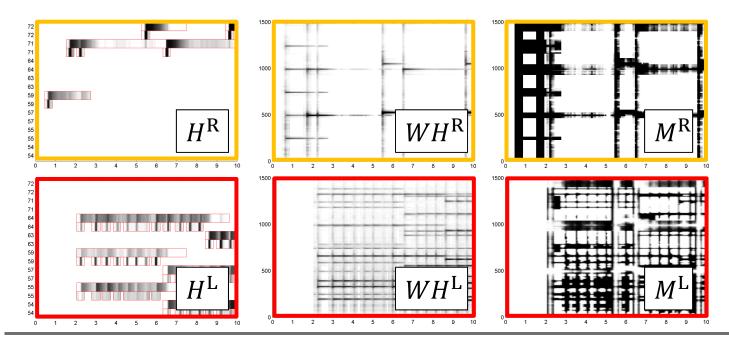


#### Application: Separating left and right hands for piano





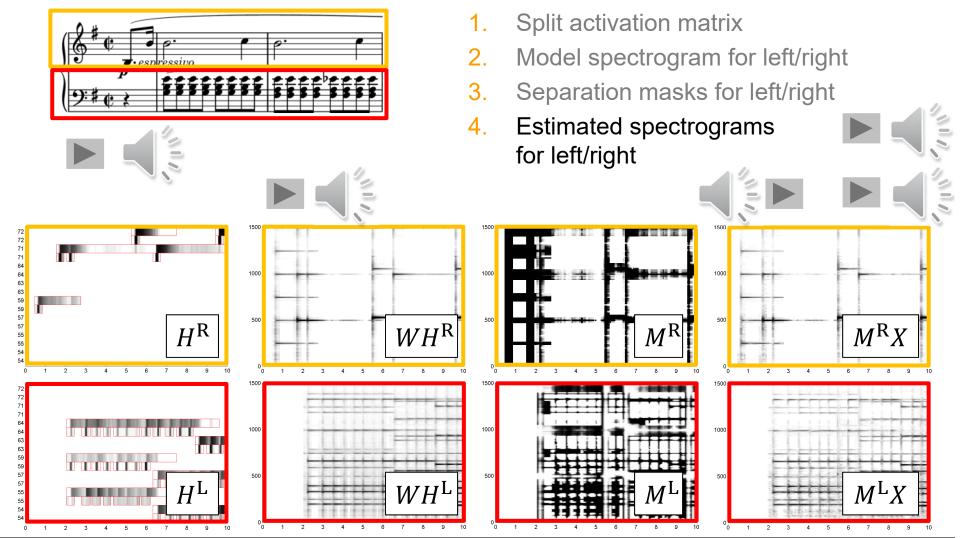
- 1. Split activation matrix
- 2. Model spectrogram for left/right
- 3. Separation masks for left/right



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#### Application: Separating left and right hands for piano



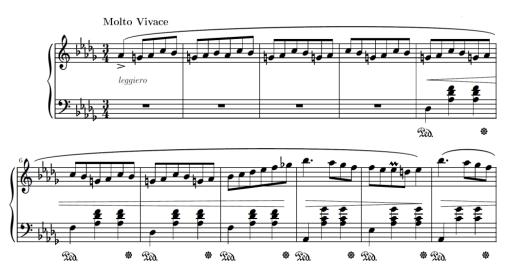
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Meinard Müller

Application: Separating left and right hands for piano

#### Chopin, Waltz Op. 64, No. 1



Original



#### **Score-Informed Constraints**

Ewert, Müller: Using Score-Informed Constraints for NMF-based Source Separation. Proc. ICASSP, 2012.

Further results available at http://www.mpi-inf.mpg.de/resources/MIR/ICASSP2012-ScoreInformedNMF/



Application: Separating left and right hands for piano

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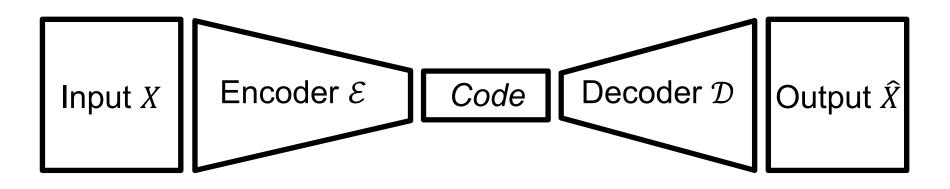


### Conclusions (NMF)

- NMF used for spectrogram decomposition
- Multiplicative update rules make it easy to constrain NMF model via zero initialization
- Exploiting score information to guide separation process (requires score–audio synchronization)
- Application: Separation of arbitrary note groups from given audio recording



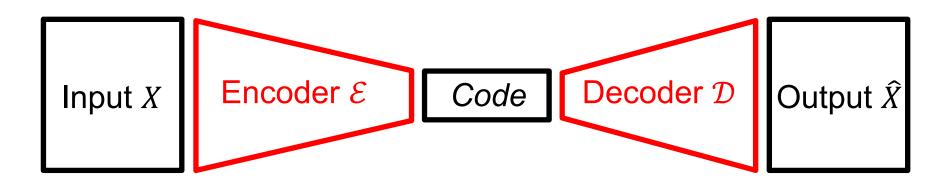
### Autoencoder



- Specific type of neural network
- Encoder: Compress input X into a low-dimensional code
- Decoder: Reconstruct output  $\hat{X}$  from code



### Autoencoder



- Specific type of neural network
- Encoder: Compress input X into a low-dimensional code
- Decoder: Reconstruct output  $\hat{X}$  from code
- Goal: Learn parameters for encoder and decoder such that output is close to input with respect to some loss function:

$$\mathcal{L}(X, \hat{X}) \approx 0$$

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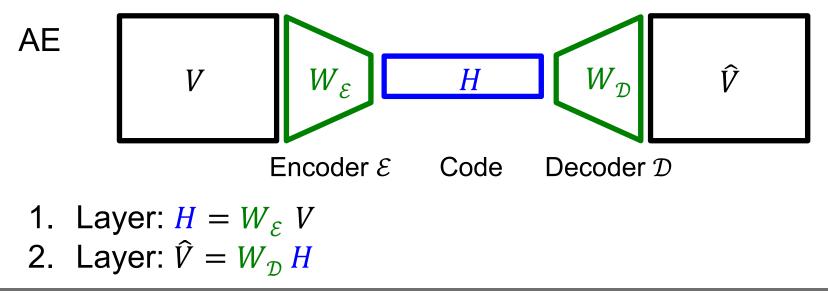
NMF and Autoencoder (AE)Nonnegative Autoencoder  
Smaragdis, Venkataramani: A Neural  
Network Alternative to Non-Negative  
Audio Models, Proc. ICASSP 2017.NMF
$$V$$
 $\thickapprox$  $W$  $H$  $=$  $\hat{V}$ 

 $V \approx WH$  implies  $W^+V \approx H$  with pseudoinverse  $W^+$ 

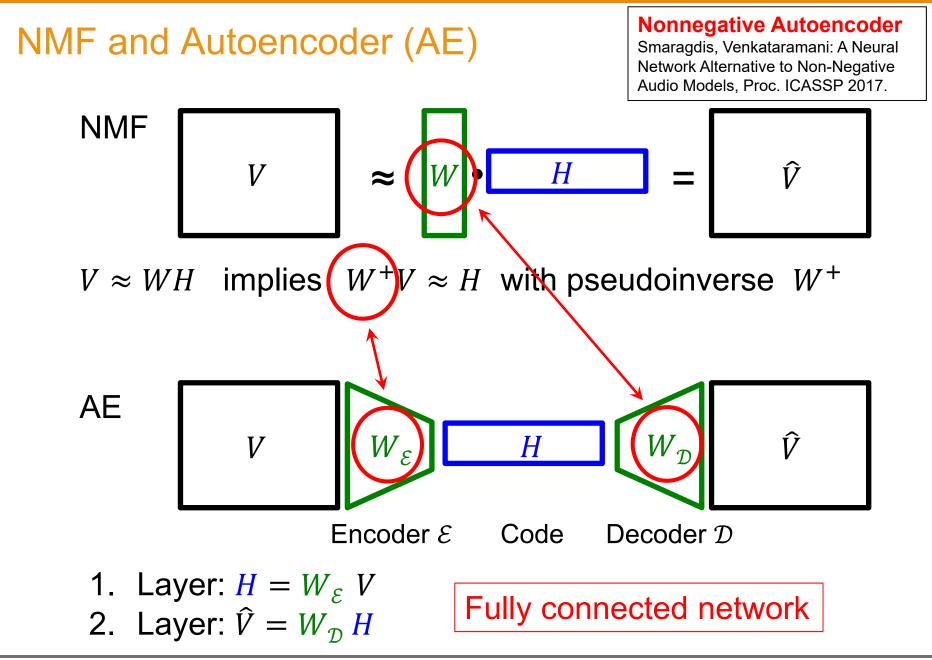


NMF and Autoencoder (AE)Nonnegative Autoencoder  
Smaragdis, Venkataramani: A Neural  
Network Alternative to Non-Negative  
Audio Models, Proc. ICASSP 2017.NMF
$$V$$
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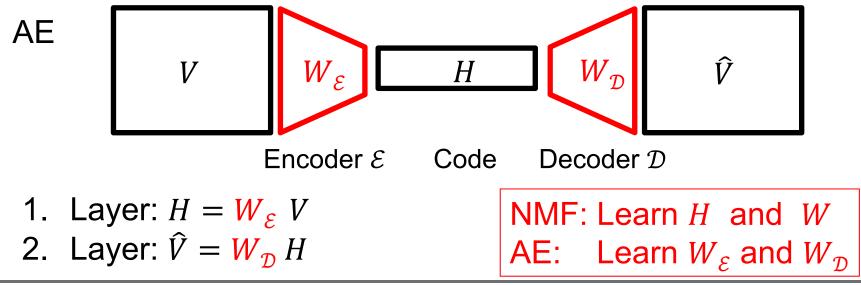






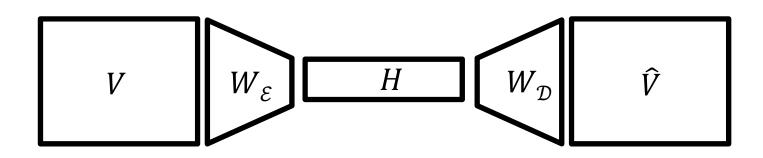
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Tutorial ISMIR Learning with Music Signals

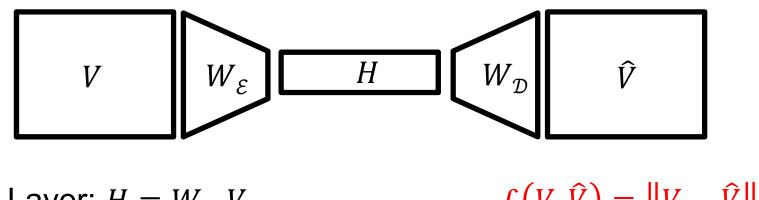




1. Layer:  $H = W_{\mathcal{E}} V$ 2. Layer:  $\hat{V} = W_{\mathcal{D}} H$ 

- How can one adjust the AE to simulate NMF?
- How can one achieve nonnegativity?
- How can one incorporate musical knowledge?



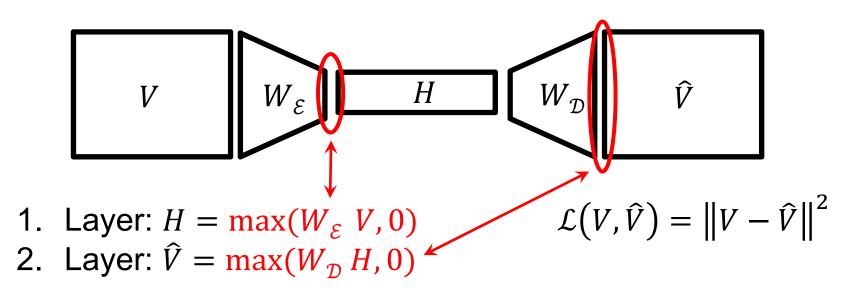


1. Layer: 
$$H = W_{\mathcal{E}} V$$
  
2. Layer:  $\hat{V} = W_{\mathcal{D}} H$ 

 $\mathcal{L}(V,\widehat{V}) = \left\|V - \widehat{V}\right\|^2$ 

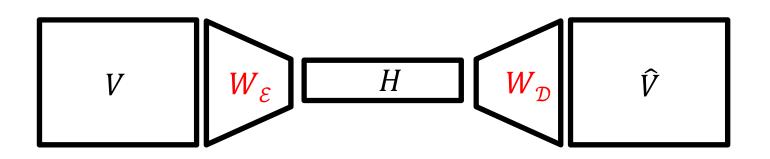
#### Loss function: same as in NMF





- Loss function: same as in NMF
- Activation function (ReLU) makes H and  $\hat{V}$  nonnegative



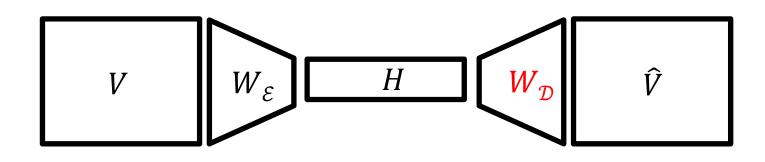


1. Layer:  $H = \max(W_{\mathcal{E}} V, 0)$   $\mathcal{L}(V, \hat{V}) = \|V - \hat{V}\|^2$ 2. Layer:  $\hat{V} = \max(W_{\mathcal{D}} H, 0)$   $W_{\mathcal{D}} \leftarrow \max\left(W_{\mathcal{D}} - \gamma \frac{\partial \mathcal{L}}{\partial W_{\mathcal{D}}}, 0\right)$ 

- Loss function: same as in NMF
- Activation function (ReLU) makes H and  $\hat{V}$  nonnegative
- Projected gradient descent can be used to keep  $W_{\mathcal{D}}$  (and  $W_{\mathcal{E}}$ ) nonnegative



**Musical Constraints** 

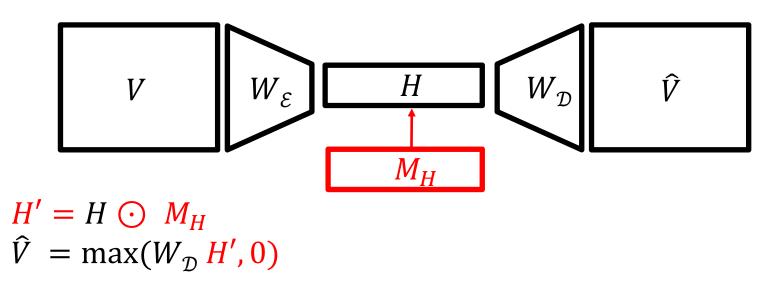


- $H = \max(W_{\mathcal{E}} \ V, 0)$  $\hat{V} = \max(W_{\mathcal{D}} \ H, 0)$
- Template constraints: Project certain entries in  $W_{\mathcal{D}}$  to zero values (using projected gradient decent)



### **Musical Constraints**

Ewert, Sandler: Structured Dropout for Weak Label and Multi-Instance Learning and Its Application to Score-Informed Source Separation. Proc. ICASSP, 2017.



- Template constraints: Project certain entries in  $W_{\mathcal{D}}$  to zero values (using projected gradient decent)
- Activation constraints: Use structured dropout by applying pointwise multiplication with binary mask M<sub>H</sub>



### NAE with Multiplicative Update Rules

- Multiplicative update rules in NMF:
  - Preserve nonnegativity
  - Lead to fast convergence
- Question: Can one introduce multiplicative update rules to train network weights for NAE?
- Use in additive gradient descent

$$W^{(\ell+1)} = W^{(\ell)} - \gamma \cdot \frac{\partial \mathcal{L}}{\partial W}$$

#### a suitable (adaptive) learning rate $\gamma$ .



### NAE with Multiplicative Update Rules

Encoder:

$$H = W_{\mathcal{E}}V$$

Structured Dropout:

 $H' = H \odot M_H$ 

• Decoder:  $\hat{V} = W_{\mathcal{D}}H'$ 

#### NMF vs. NAE



#### NAE with Multiplicative Update Rules

Encoder:

Decoder:

$$H = W_{\mathcal{E}}V$$

$$W_{\mathcal{E},rk}^{(\ell+1)} = W_{\mathcal{E},rk}^{(\ell)} \cdot \frac{\left(\left(\left(W_{\mathcal{D}}^{\top}V\right) \odot M_{H}\right)V^{\top}\right)_{rk}}{\left(\left(\left(\left(W_{\mathcal{D}}^{\top}W_{\mathcal{D}}H'^{(\ell)}\right) \odot M_{H}\right)V^{\top}\right)_{rk}\right)_{rk}}$$

• Structured Dropout:  $H' = H \odot M_H$ 

 $\hat{V} = W_{\mathcal{D}}H'$ 

$$W_{\mathcal{D},kr}^{(\ell+1)} = W_{\mathcal{D},kr}^{(\ell)} \cdot \frac{\left(VH'^{\top}\right)_{kr}}{\left(W_{\mathcal{D}}^{(\ell)}H'H'^{\top}\right)_{kr}}$$

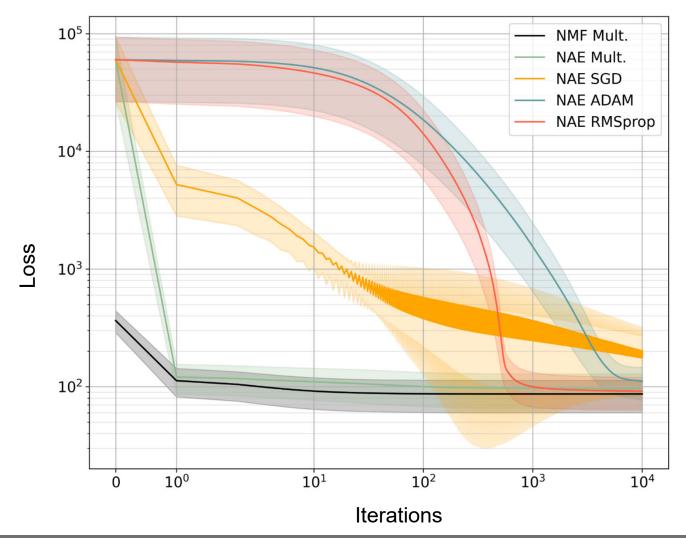
Similar idea and computation as for NMF.

#### NMF vs. NAE



### **Approximation Loss**

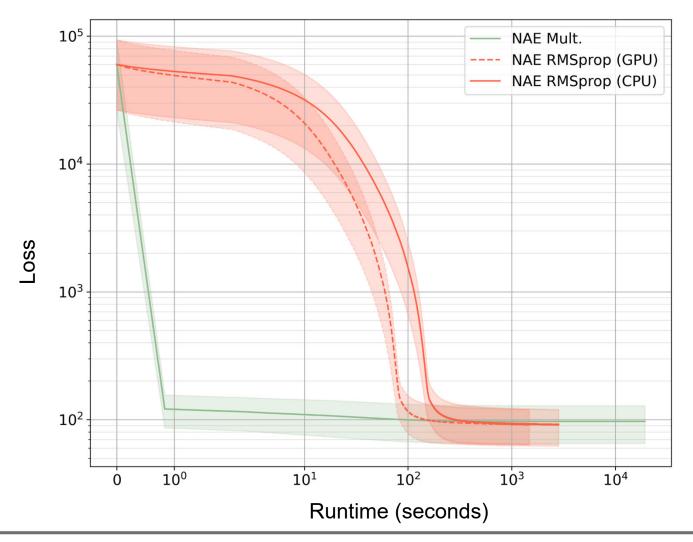
#### NMF vs. NAE





### **Approximation Loss**

#### NMF vs. NAE





## Conclusions (NAE)

- Simulation of NMF:
  - Decoder corresponds to NMF templates
  - Encoder learns a kind of pseudo-inverse
  - Code corresponds to NMF activations
- Nonnegativity can be achieved via
  - activation function (ReLU)
  - projected gradient descent
  - multiplicative update rules
- Musical knowledge can be integrated via
  - removing network weights (template constraints)
  - structured dropout (activation constraints)

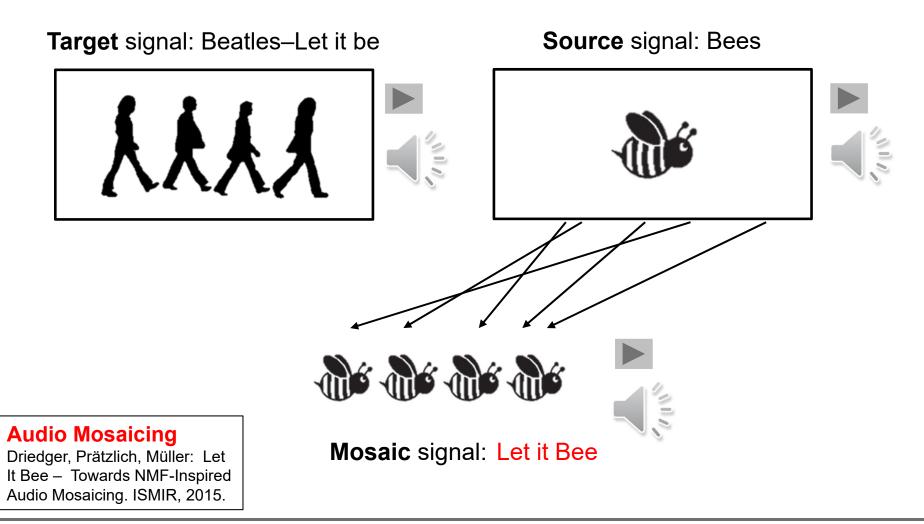


### Outlook

- More complex networks
  - Deeper networks (more layers)
  - Different layer types (CNN, RNN, ...) and activation functions
  - Modification of loss function and regularization terms
- Understanding encoder decoder relationship
  - Nonnegativity
  - Pseudo-inverse
- Update rules
  - Constraints and convergence issues
  - Adaptive learning rates and projected gradient descent

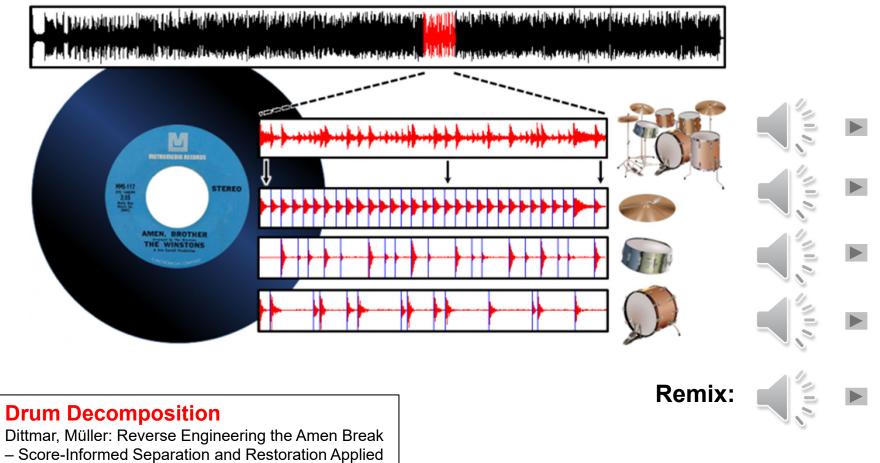


Audio mosaicing (style transfer)





#### Informed Drum-Sound Decomposition



to Drum Recordings. IEEE/ACM TASLP 24(9), 2016.



Major challenge: Reconstructed sound events often have artifacts

Approaches:

- Resynthesize certain sound components
- Differentiable Digital Signal Processing (DDSP) combines classical DSP and deep learning
- Generative adversarial networks may help to reduce the artifacts

DDSP

Engel et al.: DDSP: Differentiable Digital Signal Processing. ICLR, 2020.



- Yigitcan Özer
- PhD student in engineering
- Pianist





- Yigitcan Özer
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- Pianist



# **Only Piano!**

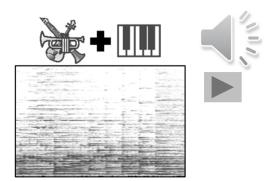


Where is the orchestra?

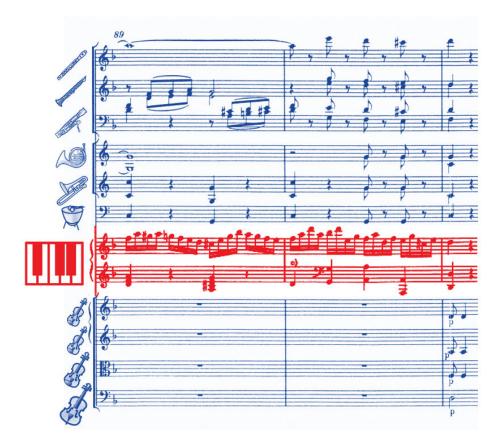


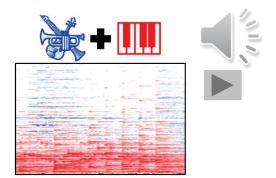




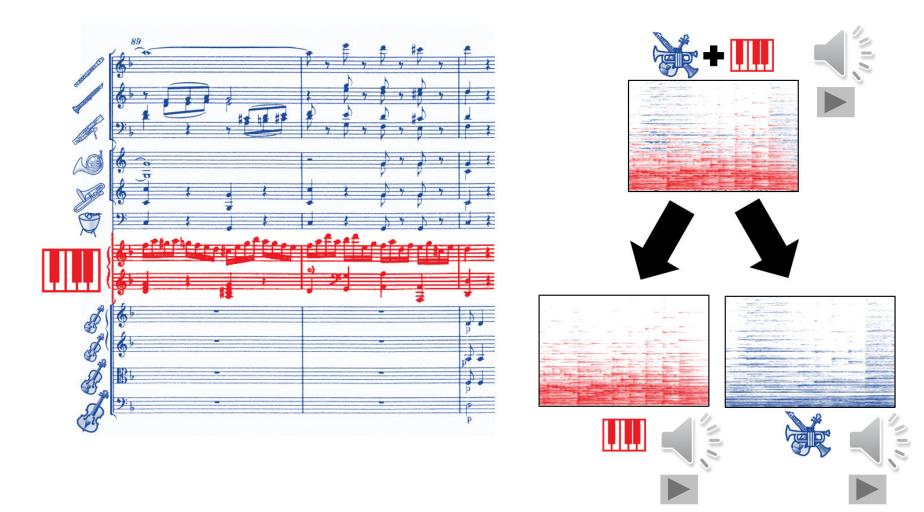




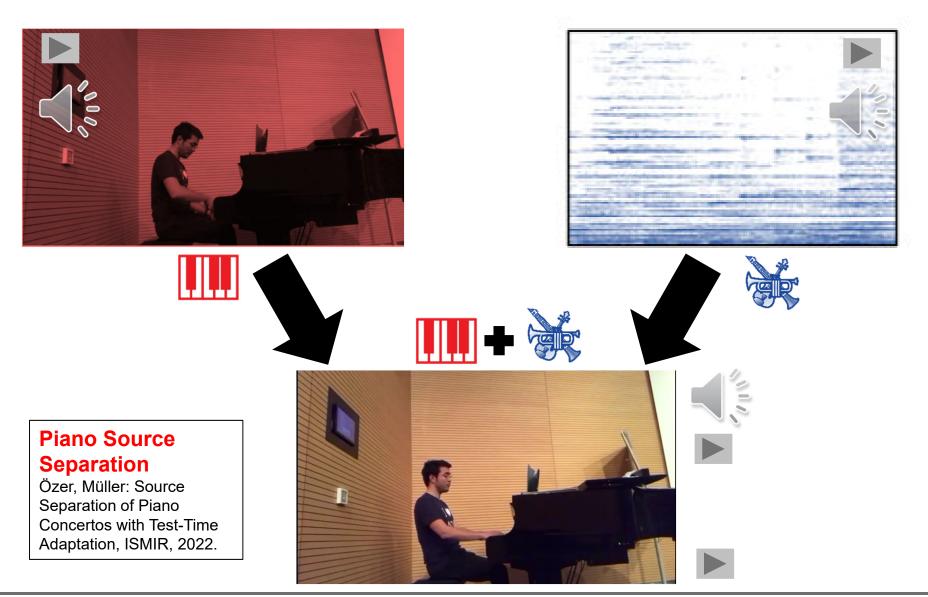














### References (NMF, NAE)

- Daniel Lee and Sebastian Seung: Algorithms for Non-Negative Matrix Factorization. Proc. NIPS, 2000.
- Sebastian Ewert and Meinard Müller: Using Score-Informed Constraints for NMF-Based Source Separation. Proc. ICASSP, 2012.
- Paris Smaragdis and Shrikant Venkataramani: A Neural Network Alternative to Non-Negative Audio Models. Proc. ICASSP, 2017.
- Sebastian Ewert and Mark B. Sandler: Structured Dropout for Weak Label and Multi-Instance Learning and Its Application to Score-Informed Source Separation. Proc. ICASSP, 2017.
- Yigitcan Özer, Jonathan Hansen, Tim Zunner, and Meinard Müller: Investigating Nonnegative Autoencoders for Efficient Audio Decomposition. Proc. EUSIPCO, 2022.

